



AP35870 - 074740.0101
PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

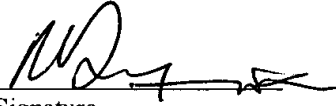
Applicant: Marshall Caro
Serial. No: 10/668,516 Examiner: Not Yet Assigned
Filed: September 23, 2003 Group Art Unit: 3624
For: SYSTEMS AND METHODS FOR AUGMENTING THE
INFORMATION CONTENT OF FINANCIAL TRADING
PROTOCOL MESSAGES

PRELIMINARY AMENDMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 2, 2004
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Sir:

Please amend the specification of the above-identified application as listed on
page 2 of this paper. Remarks follow at page 3 of this paper.